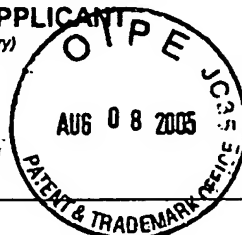


Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number	10/664,409
Filing Date	September 16, 2003
First Named Inventor	Brown, J.
Group Art Unit	3763
Examiner Name	Unknown

Sheet 1 of 1

Attorney Docket No: 600.592US1

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T <sup>1</sup>
	WO-2004/073564A3	09/02/2004	Pan, T., et al.	

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>
LD		PAN, T., et al., "A Remotely Adjustable Check-Valve Array With An Electrochemical Release Mechanism For Implantable Biomedical Microsystems", <u>12th International Conference on Transducers, Solid-State Sensors, Actuators and Microsystems, Vol. 1 (June 8-12, 2003), 115-118</u>	
LD		PAN, T., et al., "Microfluidic Characterization of a Valved Glaucoma Drainage Device With Implications for Enhanced Therapeutic Efficacy", <u>Proceedings of the 25th Annual International Conference of the IEEE EMBS, Cancun, Mexico, (September 17-21, 2003), 3317-3320</u>	
LD		PAN, T., et al., "Remotely Adjustable Check-Valves with an Electrochemical Release Mechanism For Implantable Biomedical Microsystem", <u>Proceedings of the 26th Annual International Conference of the IEEE EMBS, (September 1-5, 2004), 2658-2661</u>	

EXAMINER

/Leslie Deak/

DATE CONSIDERED

06/24/2006

Substitute Disclosure Statement Form (PTO-1449)

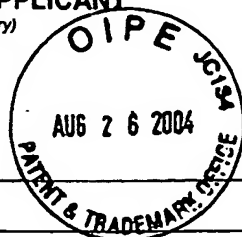
\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional) <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number 10/664,409

Filing Date September 16, 2003

First Named Inventor Brown, J.

Group Art Unit 3763

Examiner Name Unknown

Sheet 1 of 1

Attorney Docket No: 600.592US1

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
LD	2002/0169468A1	11/14/2002	Brown, J. D.	606	161	01/09/2001
LD	US-5,071,408	12/10/1991	Ahmed, A. M.	606	108	02/12/1990
LD	US-5,300,020	04/05/1994	L'Esperance Jr., F. A.	604	9	09/30/1992
LD	US-6,186,974	02/13/2001	Allan, B. D., et al.	604	30	08/16/1999

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>
LD	JP-2001-142539 (English Abstract)	05/25/2001	Yanagihara, S.	G05D	7/01	
LD	WO 00/72788A1	12/07/2000	Yaron, I., et al.	A61F	9/007	
LD	WO 01/78656A2	10/25/2001	Hill, R. A.	A61K		

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
--------------------	----------------------	---	----------------

EXAMINER

/Leslie Deak/

DATE CONSIDERED

06/24/2006

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number 10/664,409

Filing Date September 16, 2003

First Named Inventor Brown, J.

Group Art Unit Unknown

Examiner Name Unknown

Sheet 1 of 1

Attorney Docket No: 600.592US1

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
--------------------	---------------------	------------------	---	-------	----------	----------------------------

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>
--------------------	---------------------	------------------	---	-------	----------	----------------

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>4</sup>
LD		BROWN, J. DAVID, et al., "In Vitro Simulation of Flow Characteristics of Valved Glaucoma Drainage Devices After Fibrous Capsule Formation", <u>ARVO, Abstract No. 3308, (2003), 1 page</u>	
LD		FRANCIS, BRIAN A., et al., "Characteristics of Glaucoma Drainage Implants during Dynamic and Steady-state Flow Conditions", <u>Ophthalmology, 105 (9), (1997), 1708-1714</u>	
LD		LICHTER, PAUL R., "Expectations From Clinical Trials", <u>Arch. Ophthalmol, 120, (Oct. 2002), 1371-1372</u>	
LD		MOLTENO, A.C. B., "New implant for drainage in glaucoma", <u>Brit. J. Ophthal., 53, (1969), 606-615</u>	
LD		PAN, TINGRUI, et al., "A Microfluid Test-Bed with Nanopore Membranes for In Vitro Simulation of Flow Characteristics of Glaucoma Drainage Devices", <u>Proc. 2nd IEEE EMBS/BMES, 3, Houston, Texas, (2002), 1830-1831</u>	
LD		PRATA, JOAO ANTONIO, et al., "In Vitro and In Vivo Flow Characteristics of Glaucoma Drainage Implants", <u>Ophthalmology, 102 (6), (June 1995), 894-904</u>	
LD		WILCOX, MICHAEL J., et al., "Pathophysiology of Artificial Aqueous Drainage in Primate Eyes with Molteno Implants", <u>Journal of Glaucoma, 3 (2), (1994), 140-151</u>	
LD		WILSON, M. ROY, et al., "Ahmed Glaucoma Valve Implant vs. Trabeculectomy in the Surgical Treatment of Glaucoma: A Randomized Clinical Trial", <u>American Journal of Ophthalmology, 130 (3), (Sept. 2000), 267-273</u>	
LD		ZAPPE, R. W., "Flow Through Valves", <u>In Valve Selection Handbook, 4th Ed., Gulf Publishing Company, Houston, Texas, (1998), 27-37</u>	

EXAMINER /Leslie Deak/

DATE CONSIDERED

06/24/2006